

CPW  
3723



Effective on 12/08/04

# **FEE TRANSMITTAL SHEET** (FY 2005)

## **Complete if Known**

Application No.	09/929,137
Filing Date	August 13, 2001
First Inventor	Scott E. Moore
Group Art Unit	3723
Examiner Name	Maurina T. Rachuba
Atty. Docket Number	500199.05

☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

## **METHOD OF PAYMENT (Check One)**

☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. **50-1266**; Deposit Account Name: **DORSEY & WHITNEY LLP**.

☐ Check Enclosed.

### **Extra Claim Fees**

Current Claims	Prior	Extra	Fee	Fee Paid
Total <b>12</b>	- <b>21</b>	= <b>0</b>	x <b>\$ 50</b>	= <b>\$ 0</b>
Ind. <b>3</b>	- <b>3</b>	= <b>0</b>	x <b>\$ 200</b>	= <b>\$ 0</b>
Multiple Dependent Claims			x <b>\$</b>	= <b>\$</b>
<b>Subtotal (Extra Claims)</b>				<b>\$ 0</b>

### **Petition Fee Under 37 CFR 1.17(f), (g), & (h)**

Enclosed is a Petition filed under 37 CFR as indicated below:

- ☐ **Petition Fee under 37 CFR 1.17(f) Fee \$400**
- § 1.53(e) to accord a filing date.
  - § 1.57(a) to accord a filing date.
  - § 1.182 for decision on a question not provided for.
  - § 1.183 to suspend the rules.
  - § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.
  - § 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term.
- ☐ **Petition Fee under 37 CFR 1.17(g) Fee \$200**
- § 1.12 for access to an assignment record.
  - § 1.14 for access to an application.
  - § 1.47 for filing by other than all inventors or person not the inventor.
  - § 1.59 for expungement of information.
  - § 1.103(a) to suspend action in an application.
  - § 1.136(b) for review of a request for ext. of time when §1.136(a) not avail.
  - § 1.295 for review of refusal to publish a statutory invention registration.
  - § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.
  - § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.
  - § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.
  - § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.
  - § 5.12 for expedited handling of foreign filing license.
  - § 5.15 for changing the scope of a license.
  - § 1.5.25 for retroactive license.
- ☐ **Petition Fee under 37 CFR 1.17(h) Fee \$130**
- § 1.19(g) to request documents in a form other than provided in this part.
  - § 1.84 for accepting color drawings or photographs.
  - § 1.91 for entry of a model or exhibit.
  - § 1.102(d) to make an application special.
  - § 1.138(c) to expressly abandon an application to avoid publication.
  - § 1.313 to withdraw an application from issue.
  - § 1.314 to defer issuance of a patent.

## **FEE CALCULATION (Continued)**

### **3. ADDITIONAL FEES**

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	\$
130	65	Surcharge - Late nonprovisional filing fee or oath	\$
180	180	Submission of IDS	\$
40	40	Recording each patent assignment per property (times number of properties)	\$
120	60	Extension for reply within first month	\$
450	225	Extension for reply within second month	\$
1,020	510	Extension for reply within third month	\$
1,590	795	Extension for reply within fourth month	\$
2,160	1,080	Extension for reply within fifth month	\$
790	395	Submission After Final 1.129	\$
500	250	Notice of Appeal	\$
500	250	Filing a brief in support of an appeal	\$
1,000	500	Request for oral hearing	\$
130	65	Terminal Disclaimer Fee	\$
800	400	Design Issue Fee	\$
790	395	Request for Continued Examination (RCE)	\$
130		Request for voluntary publication or republication	\$
500	250	Petition to Revive - unavoidable	\$
1,500	750	Petition to Revive - unintentional	\$
200		Filing for patent term adjustment	\$
400		Request for reinstatement of term reduced	\$
1,120		Extension of term of patent	\$

OTHER FEE (specify)

**Subtotal (Additional Fees) \$0**

**Total Amount of Payment: \$0**

Submitted by:

CUSTOMER NUMBER  
**27,076**

DORSEY & WHITNEY LLP

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101-4010  
(206) 903-8800 phone / (206) 903-8820 fax

Name: Marcus Simon

Reg. No.: 50,258

Signature:

*Marcus Simon*

Date: July 11, 2005



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 11, 2005  
Date

Carolyn L. Ross  
Carolyn L. Ross

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/929,137

Confirmation No. : 9889

Applicant : Scott E. Moore

Filed : August 13, 2001

Attorney Docket No.: 500199.05

Art Unit : 3723

Customer No. : 27,076

Examiner : Maurina T. Rachuba

Title : METHOD AND APPARATUS FOR PLANARIZING A MICROELECTRONIC  
SUBSTRATE WITH A TILTED PLANARIZING SURFACE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

Applicant acknowledges receipt of the Office Action dated April 11, 2005. Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.